

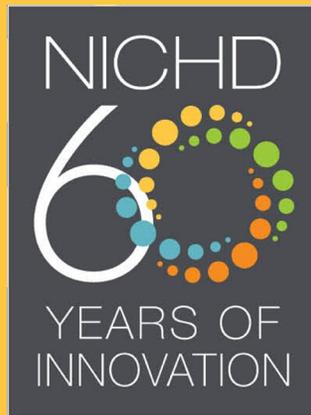
NICHD



YEARS OF
INNOVATION



Eunice Kennedy Shriver National Institute
of Child Health and Human Development



Planning New Initiatives

Caroline Signore, MD, MPH – Deputy Director, DER

June 14, 2022

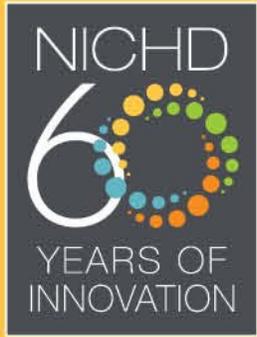


Eunice Kennedy Shriver National Institute
of Child Health and Human Development



Outline

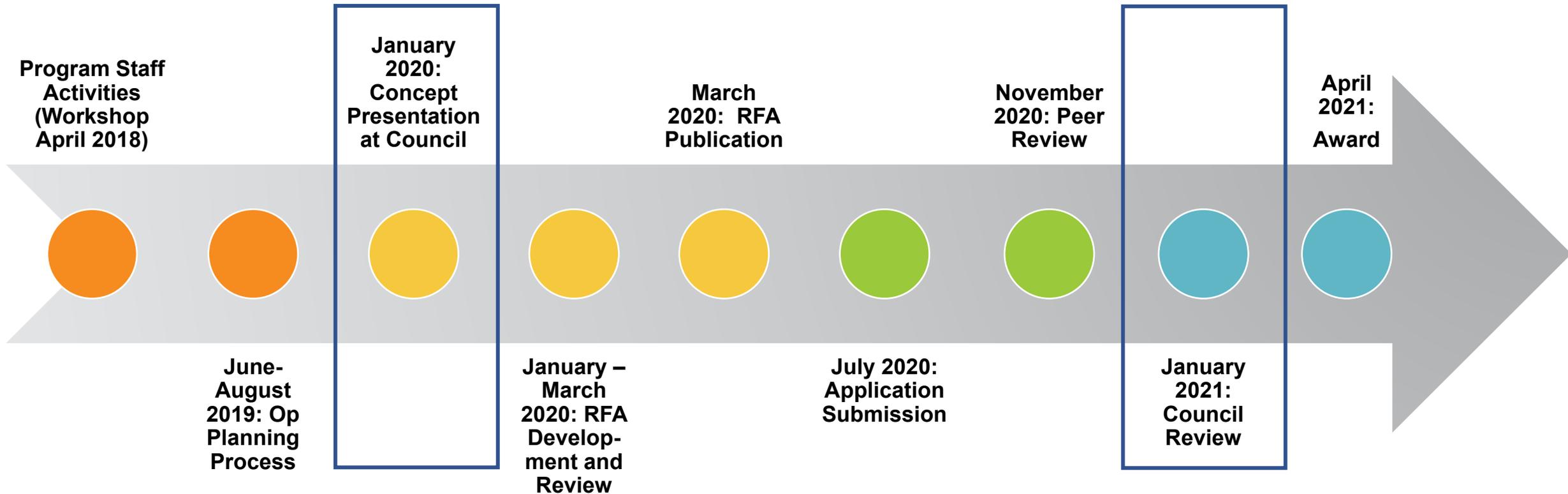
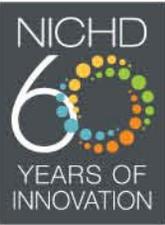
- **Overall idea to award timeline**
- **NICHD Operational Planning process**
- **Post-planning process steps**



History of a 2021 Initiative

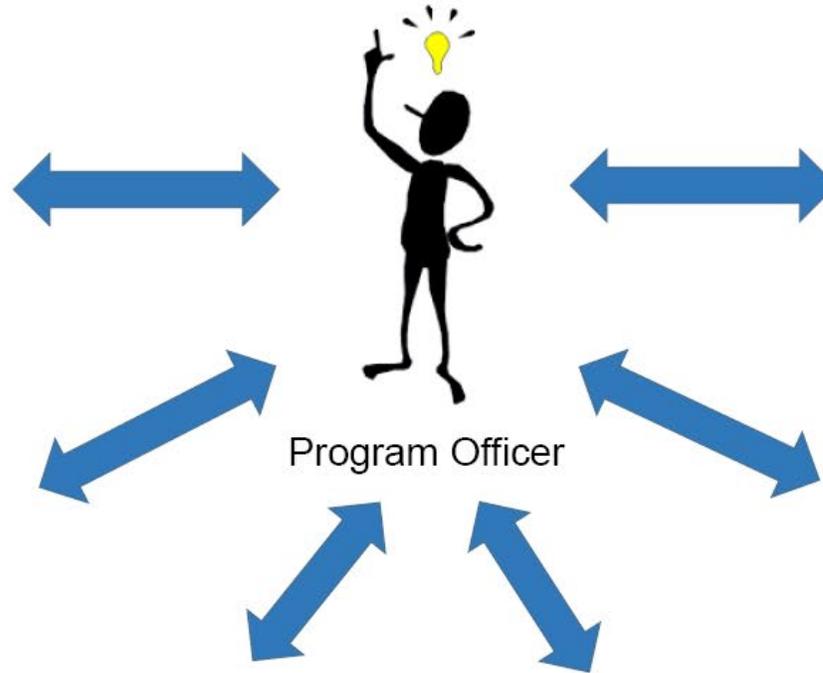
An **RFA** for Research Project Grants (R01s) *awarded*
in FY21

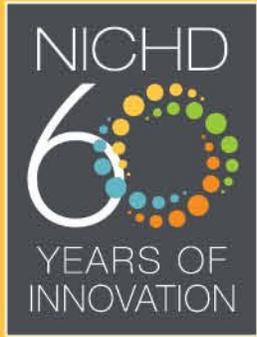
Typical Initiative Timeline: 2018 - 2021



Initiative Origin: Program Staff

Developing the initiative





Operational Planning Process

Developing concepts for presentation to Council:

Basic Elements of an “Op Planning” Initiative

Prepared by
Program Staff

Written Document and Oral Presentation

- Brief scientific background and related activities
- What evidence gap is being addressed?
- What is the purpose or goal?
- If recurring:
 - What were the outcome(s) from previous years?
 - Why do we need to continue to stimulate the field?
- Why NICHD?
- How does the initiative align with our Strategic Plan and scientific branch priorities?
- What is the recommended budget?

Developing concepts for presentation to Council:

Annual* Op Planning Meetings – Set-aside

- **Typically ~20-30 new and recurring initiatives are presented by individual program staff**
 - **RFAs/RFPs: Set-aside funds**
- **All staff and leadership attend, ask questions, offer suggestions**

*Ad Hoc meetings are held occasionally



Developing concepts for presentation to Council:

Quarterly Op Planning Meetings [No Set-aside]

- Typically ~10-15 new and recurring initiative proposals are written by individual program staff
 - PAR/NOSI
- DER and OD staff panel members evaluate each proposal

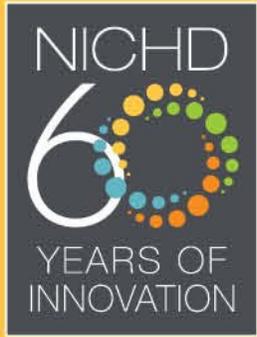


Developing concepts for presentation to Council:

Leadership Evaluation and Decisions

After staff present initiatives, Leadership evaluates the proposals based on:

- **Clarity of goals and objectives**
- **Extent to which the initiative addresses an important gap or opportunity**
- **Extent to which it aligns with the NICHD Strategic Plan, aspirational goals, and program priorities**
- **Recommended budget**
- **Funds available**

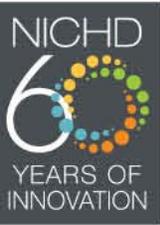


Determining Funds Available for New Initiatives

Brief Overview

Determining Funds Available for New Initiatives

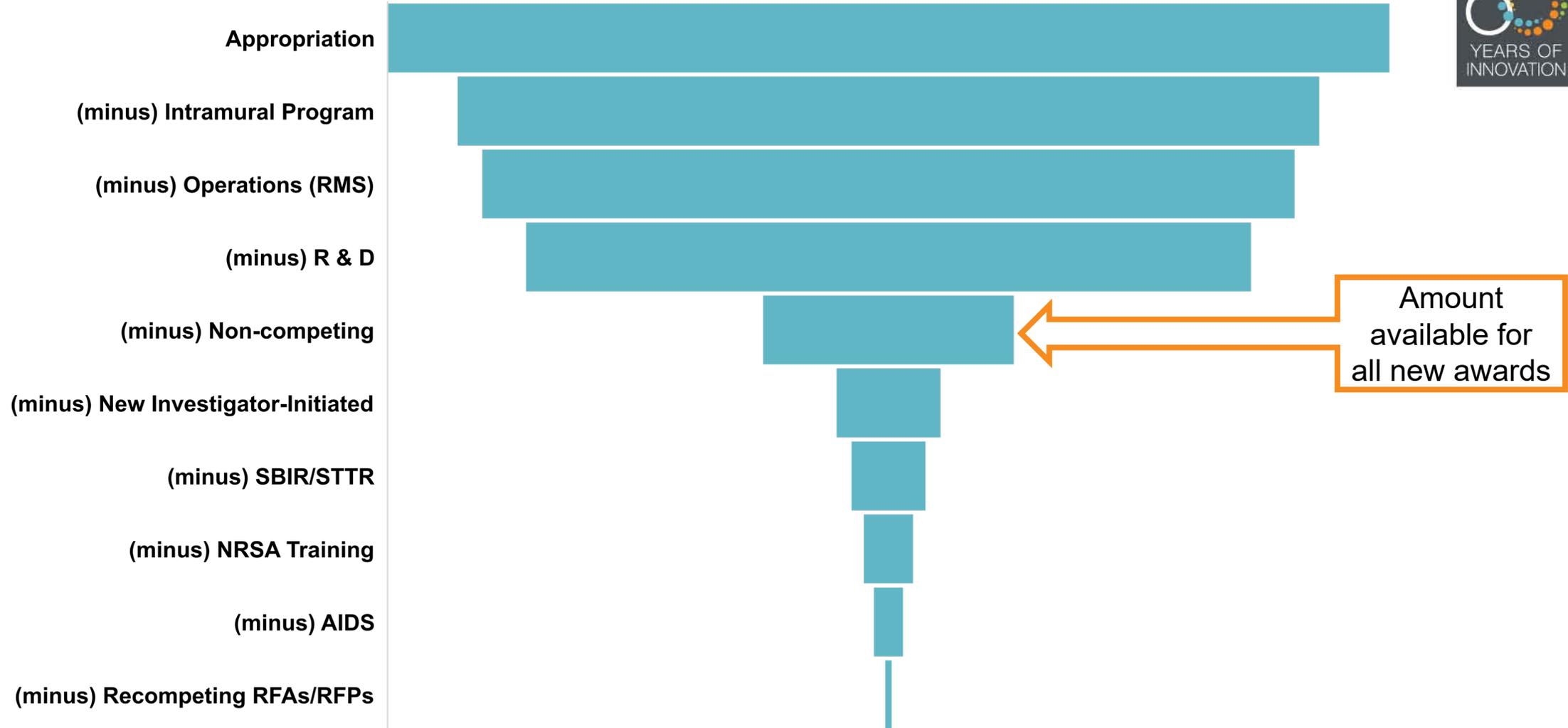
Hypothetical Example for Funding in 2021



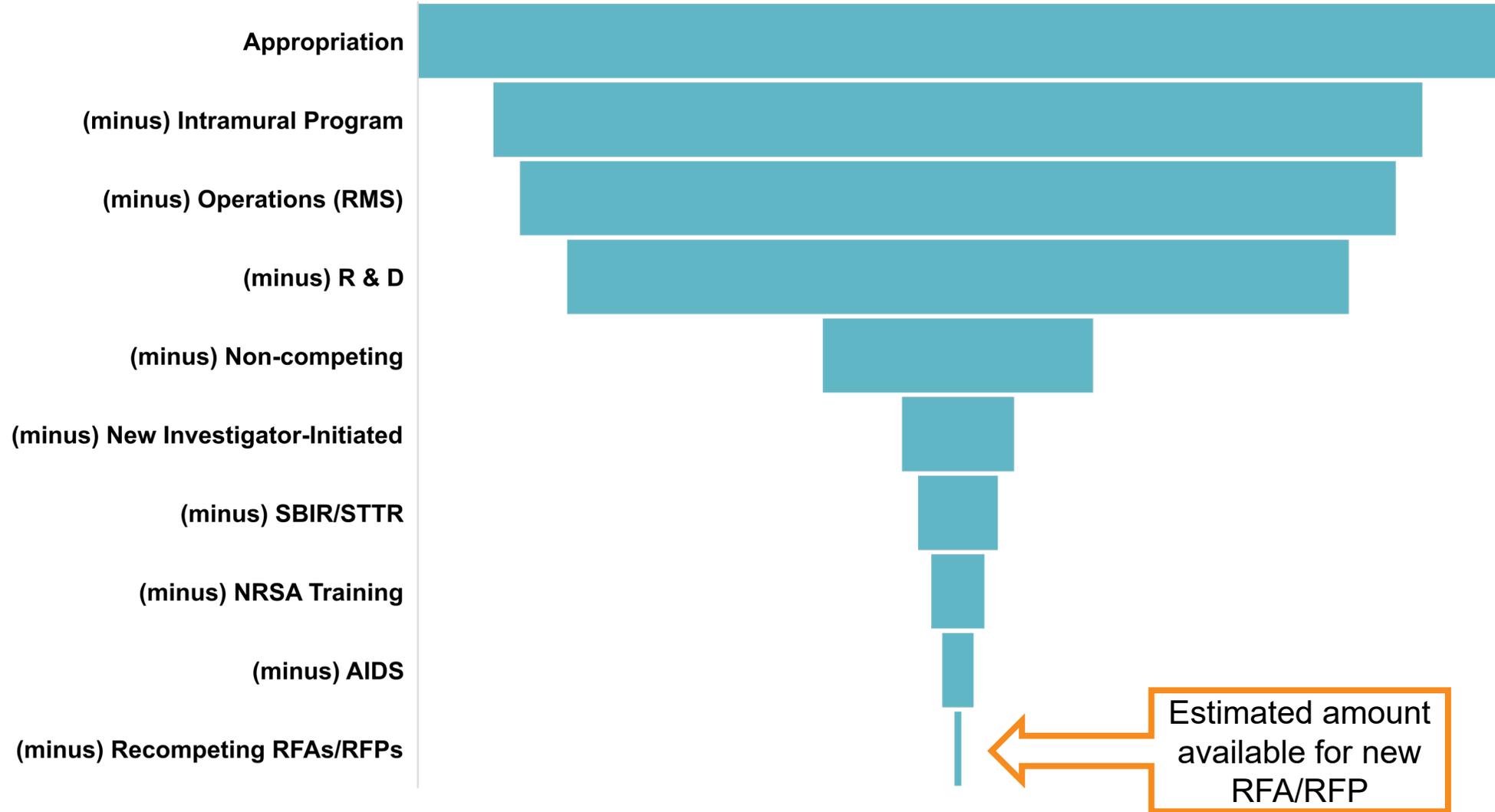
- **Annual appropriation/Continuing resolution**
 - In 2021: Extramural (81%); Intramural (14%); Research Management and Support (RMS, 5%)
- **Funds are needed for the Intramural Program and for NICHD operations (salaries, etc.)**
- **Identify available funds for new extramural awards**
 - Most funds are already tied up as existing non-competing commitments in 2019
 - Conservatively predict new non-competing commitments (FY19 and 20)
- **Remaining funds can be used to pay for new awards**
 - Investigator-initiated grants, SBIR/STTR, training, ESIs, HIV/AIDS
 - Recompeting RFAs/RFPs
 - New initiatives



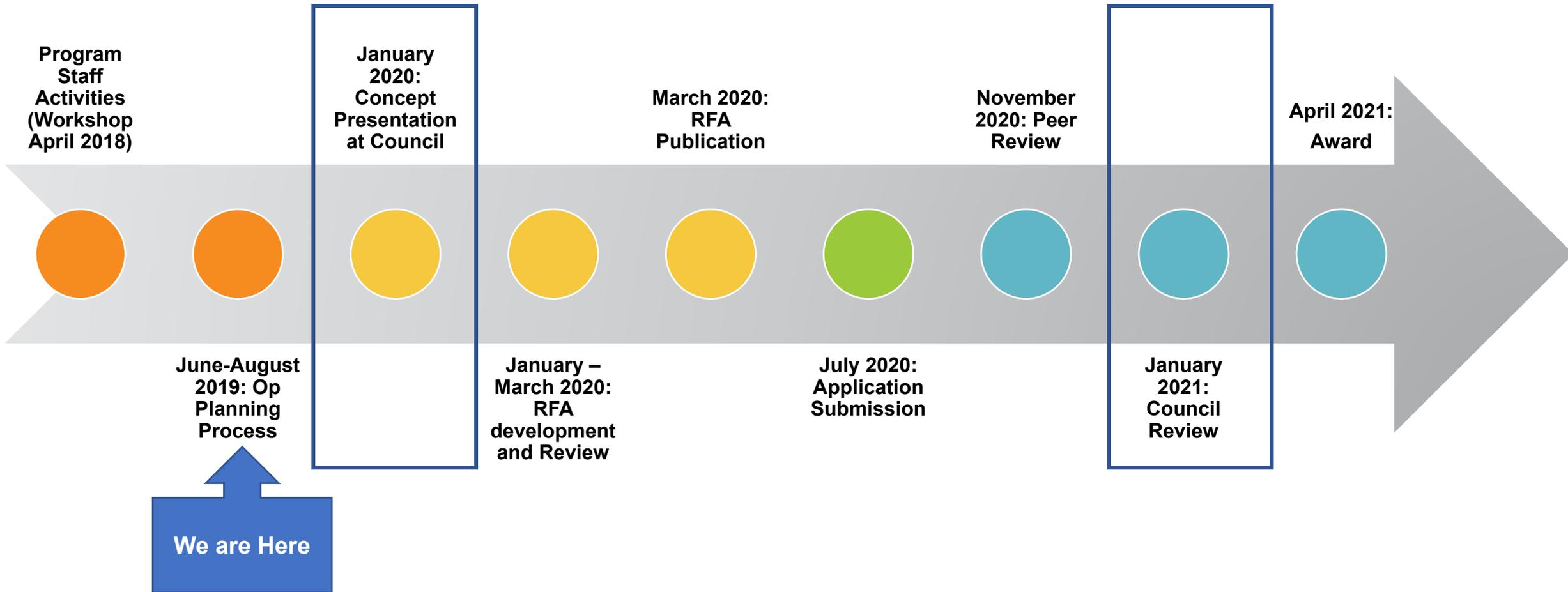
Estimating Funds Available for New Initiatives (with set-asides), 2021

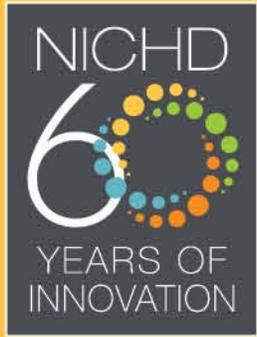


Estimating Funds Available for New Initiatives (with set-asides), 2021



Next Steps





Thank You!

Comments and suggestions are welcome